



10-09-07

Docket No.: 55168RCE3(70551)  
(PATENT)

3625

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Y. Sawada et al.

Application No.: 09/647,086

Confirmation No.: 4078

Filed: September 26, 2000

Art Unit: 3625

For: DATA DISTRIBUTING SYSTEM AND  
DATA SELLING APPARATUS  
THEREFOR, DATA RETRIEVING  
APPARATUS, DUPLICATED DATA  
DETECTING SYSTEM, AND DATA  
REPRODUCTION APPARATUS

Examiner: Gart, Matthew S.

**INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

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**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 (Express Mail Label No: **EM 005398880 US**), and is addressed to Mail Stop: AMENDMENT, Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 on October 4, 2007.

By Kathryn A. Grindrod  
Kathryn A. Grindrod

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Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references/documents listed on the attached PTO/SB/08a/b. It is respectfully requested that these references/documents be expressly considered during the prosecution of this application, and that these references/documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

I COPIES

- a.   X   Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.  
(Applications filed after June 30, 2003, no copies of cited US Patents are required and therefore, are not enclosed).
- b.        This application relies under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No.       , filed on       . The references listed on the attached Form PTO/SB08a/b were submitted to, and/or cited by, the Office in the prior application(s) and, therefore, are not required to be provided in this application.

II. CONCISE EXPLANATION OF THE RELEVANCE  
(check at least one box)

- a.        Except as may be indicated below in (b), all of the patents, publications or other information submitted herewith are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
- b.   X   A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- See English language Abstract attached to Item BE and English language Abstract and partial translation attached to Item BG.  
The remaining listed items were previously cited in this application, but are nevertheless listed in this IDS because they are mentioned in the actions of foreign patent offices in cases corresponding to this application discussed below.

- c.   X   The following additional information is provided for the Examiner's consideration:

Some of the documents cited and provided herewith were cited in a Korean Notice of Allowance issued on 13 August 2007 in an application corresponding to this application (a copy of that Korean Notice of Allowance, dated 13 August 2007 is attached hereto. See also Items BB – BE).

Others of the documents cited and provided herewith were cited in an office action dated 21 August 2007 in the first basic Japanese application from which the benefit of priority was claimed in this application. (See Items BA, BF and BG).

A full copy of Item BB was filed with in this application on 26 September 2000. Similarly, full copies of Items BC and BD were filed on 5 October 2006. Also, a full copy of Item BA was filed on 20 April 2005. Finally, a full copy of Item BF was filed on 3 May 2004. Accordingly, with respect to the just mentioned Japanese and Korean Patent Publications, only copies of the front pages thereof are being provided herewith.

### **FEES**

III. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b)  
(check one box)

- a.        within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1). No fee or certification is required.
- b.        within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2). No fee or certification is required.

- c. ☐ before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c):  
(check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

- a. ☐ No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).

**OR**

- b. ☒ See the certification below. No fee is required.

V. STATEMENT UNDER 37 C.F.R. § 1.97(d)

The undersigned hereby states that

\_\_\_\_\_ This Information Disclosure Statement is filed after the mailing date of a Final Office Action or Notice of Allowance, whichever occurred first, but on or before payment of the Issue Fee (37 CFR 1.97(d)). Accordingly, Applicant(s) respectfully hereby petition(s) that this Information Disclosure Statement be considered.

VI. STATEMENT UNDER 37 C.F.R. § 1.97(e)  
(check only one box)

The undersigned hereby states that

- a.   X   to the extent not previously disclosed in this application, each item of information contained in this IDS was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b.        no item of information contained in the IDS was first cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

- c. \_\_\_\_\_ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

\_\_\_\_\_ Please charge Deposit Account No. 04-1105 in the amount of \$180.00 for the above-indicated fee. A triplicate copy of this paper is attached.

  X   No fee is required.

  X   Applicant believes that additional fees beyond those submitted herewith are not required in connection with the consideration of this submission. However, if for any reason a further fee is required, a fee paid is inadequate or a credit is owed for any excess fee paid, you are hereby authorized and requested to charge and/or credit Deposit Account No. 04-1105, as necessary, for the correct payment of all fees which may be due in connection with the filing and consideration of this communication.

If the Examiner has any questions concerning this Information Disclosure Statement, he/she is requested to contact the undersigned. Further, if it is determined that this Information Disclosure Statement has been filed under the wrong rule, the United States Patent and Trademark Office is requested to consider this Information Disclosure Statement under the proper rule, with a petition if necessary, and to charge the appropriate fee to Deposit Account No. **04-1105**.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1105, under Order No. 55168RCE3(70551). A duplicate copy of this paper is enclosed.

Dated: October 4, 2007

Respectfully submitted,

By David A. Tucker

David A. Tucker

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Application No. (if known): 09/647,086

Attorney Docket No.: 55168RCE3(70551)

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. **EM 005398880 US** in an envelope addressed to:

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on October 4, 2007  
Date

  
Signature

Kathryn Grindrod

Typed or printed name of person signing Certificate

Registration Number, if applicable

(617) 517-5534  
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Information Disclosure Statement (7 pages)  
Form PTO/SB/08a/b (w/7 References) (1 page)  
Return Receipt Postcard